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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/619,898	07/14/2003	Rune Robert, Isak, Erik Frants	VEOC.003.02US	7453

31272 7590 03/06/2006  
RAE-VENTER LAW GROUP, P.C.  
P.O. BOX 1898  
MONTEREY, CA 93942-1898

EXAMINER

CHEN, SHIN LIN

ART UNIT PAPER NUMBER

1632

DATE MAILED: 03/06/2006



Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/619,898

Applicant(s)

FRANTS ET AL.

Examiner

Shin-Lin Chen

Art Unit

1632

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-40 are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____  |

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1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 1-21, 29-33 and 38, drawn to an isolated nucleic acid encoding an alpha1 subunit of a P/Q-type gated calcium channel or a specific fragment or derivative or homolog of said calcium channel, a nucleic acid that is at least 70% identical to the sequence of SEQ ID Nos. 1-42, an expression vector comprising said nucleic acid, and a host cell containing said nucleic acid.

Group II, claim(s) 22-25, 27 and 28, drawn to a method of identifying a gene which encodes a P/Q-type gated calcium channel by using the nucleic acid of claim 1 or 20.

Group III, claim(s) 26-28, drawn to a method of distinguishing between alleles of a gene which encodes a P/Q-type gated calcium channel by using the nucleic acid or fragment in claim 20.

Group IV, claim(s) 30-33, 39 and 40, drawn to an animal or a non-human transgenic animal comprising the nucleic acid set forth above.

Group V, claim(s) 34, drawn to a method for screening for an agent for treating FHM, EA-2, SCA6, migraine or other neurological disorder by using animal.

Group VI, claim(s) 35, drawn to a protein or peptide encoded by the nucleic acid of claim 1.

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Group VII, claim(s) 36 and 37, drawn to a natural or synthetic antibody against a protein or peptide according to claim 35, and a method for diagnosing FHM, EA-2, SCA6, migraine or other neurological disorder associated with cation channel dysfunction by using said antibody.

Claims 27 and 28 link to inventions II-III. The restriction requirement among the linked inventions is subject to the nonallowance of the linking claim(s), claims 4 and 16-18. Upon the allowance of the linking claim(s), the restriction requirement as to the linked inventions shall be withdrawn and any claim(s) depending from or otherwise including all the limitations of the allowable linking claim(s) will be entitled to examination in the instant application. Applicant(s) are advised that if any such claim(s) depending from or including all the limitations of the allowable linking claim(s) is/are presented in a continuation or divisional application, the claims of the continuation or divisional application may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Where a restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 44 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also M.E.P. § 804.01.

The inventions listed as Groups I-VII do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The special technical feature for groups I-VII is an isolated nucleic acid encoding an  $\alpha 1$  subunit of a P/Q-type gated calcium channel or a specific fragment or derivative or homolog of said calcium channel, or a nucleic acid that is at least 70% identical to the sequence of SEQ ID Nos. 1-42. Ellis et al., 1995, teaches a nucleotide sequence, N\_Geneseq\_36 Accession No. Q84659, which is 95.1% identical to base 142 to 244 of

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SEQ ID No. 4, and said nucleotide sequence encodes a human neuronal calcium channel subunit 1A1. Since the nucleotide sequences of SEQ ID Nos. 1-42 are not disclosed in the foreign application Netherlands 96202707.4 filed 9-27-96, therefore, the priority date of said application is not granted. Ophoff, 1996, teaches a nucleotide sequence, GenEmbl Accession No. Z80116, which is 100% identical to the sequence of SEQ ID No. 3, and said nucleotide sequence encodes a P/Q type calcium channel. Thus, no special technical feature has been contributed over the prior art by the instant invention. Further, the methods described in groups II, III, V and VII are drawn to materially distinct methods which differ at least in objectives, method steps, reagents and/or dosages used, schedules used, response variables, and criteria for success. Nucleic acids, proteins, antibodies, and transgenic animals are drawn to compositions having different chemical structure, physical properties and biological function, and they are different products. Therefore, groups I-VII do not relate to a single general inventive concept under PCT Rule 13.1.

Upon election of a group, a further restriction is required as follows:

Since the SEQ ID Nos. 1-42 recited in the claims of the present application represent different DNA sequences having various mixture of exon and intron sequences. They lack common property or activity and the function and utility of the nucleotide sequence of each SEQ ID No would differ from each other, therefore, they represent different products. Thus, the SEQ ID Nos. 1-42 recited in the claims of the present application do not relate to a single general inventive concept. Applicant is required to elect a **single** SEQ ID No. for consideration by examiner.

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Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

2. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shin-Lin Chen whose telephone number is (571) 272-0726. The examiner can normally be reached on Monday to Friday from 9:30 am to 6 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla can be reached on (571) 272-0735. The fax phone number for this group is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

Shin-Lin Chen, Ph.D.



SHIN-LIN CHEN  
PRIMARY EXAMINEE

<b>Notice of References Cited</b>	Application/Control No. 10/619,898	Applicant(s)/Patent Under Reexamination FRANTS ET AL.	
	Examiner Shin-Lin Chen	Art Unit 1632	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Ellis et al., 1995, N_Geneseq_36 Accession No. Q84659.
	V	Ophoff, 1996, GenEmbl Accession No. Z80116.
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



Key Location/Qualifiers  
CDS 237..7769  
FT /\*tag= a  
FT misc\_difference 7035..7039  
FT /\*tag= b  
FT /note= "not present in alpha 1A-2"

WO9504822-A.  
16-FEB-1995.  
11-AUG-1994; 94WO-US09230.  
11-AUG-1993; 93US-0105536.  
05-NOV-1993; 93US-0149097.  
(SALK ) SALK INST BIOTECHNOLOGY IND ASSOC.  
Ellis SB, Gillespie A, Harpold MM, Mccue AF, Williams ME;  
WPI; 1995-090900/12.  
P-PSDB; R71007.

DNA encoding human calcium channel sub-unit(s) - used for developing prods. for studying calcium channels, e.g. for obtaining agonists and antagonists

Claim 1; Page 178-190; 285pp; English.

The primary transcript of the alpha 1A subunit gene is alternatively spliced to yield at least two variant mRNAs. One form, alpha 1A-1 is given in Q84659/R71007, and the other, alpha 1A-2 is given in Q84660/R71008. Alpha 1A-2 differs from alpha 1A-1 encoding sequence at the 3' end in that it lacks a 5 nt. sequence. This deletion shifts the reading frame and introduces a translation termination codon resulting in an alpha 1A subunit than that encoded by alpha 1A-1. DNA doncding alpha 1A subunits can be isolated using all or a portion of the DNA having sequence Q84661, Q85659 or Q84660 or DNA obtd. from the phage lysate of an E. coli host contg. DNA encoding an alpha 1A subunit that has been deposited in the ATCC under accession no. 75293. The DNA is such a phage includes the DNA fragment having the sequence in Q84661 which selectively hybridises under conditions of high stringency to DNA encoding alpha 1A DNA but not to DNA encoding alpha 1B.

Sequence 7808 BP; 1680 A; 2441 C; 2265 G; 1422 T; 0 other;

Query Match 36.7%; Score 95; DB 16; Length 7808;  
Best Local Similarity 95.1%; Pred. No. 2.8e-20;  
Matches 98; Conservative 0; Mismatches 5; Indels 0; Gaps 0;

QY 142 gcatcttggcgacagttgggacggagtttgacctacggacgctgagggcaggttcgagtcg 201  
Db 775 gcatcttggcgacagttgggacggagtttgacctacggacgctgagggcaggttcgagtcg 834  
QY 202 tgcggccgctcaagctggtgtctggaatcccaagtcgctgagt 244  
Db 835 tgcggccgctcaagctggtgtctggaatcccaagtttacaagt 877

RESULT 5  
ID Q29273 standard; DNA; 1424 BP.  
AC Q29273;  
DT 03-MAR-1993 (first entry)  
XX Human calcium channel 27980/15.  
XX

/\*tag= a

WO9504822-A.  
16-FEB-1995.  
11-AUG-1994; 94WO-US09230.  
11-AUG-1993; 93US-0105536.  
05-NOV-1993; 93US-0149097.  
(SALK ) SALK INST BIOTECHNOLOGY IND ASSOC.  
Ellis SB, Gillespie A, Harpold MM, Mccue AF, Williams ME;  
WPI; 1995-090900/12.  
P-PSDB; R71008.

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Claim 1; Page 190-201; 285pp; English.

The primary transcript of the alpha 1A subunit gene is alternatively spliced to yield at least two variant mRNAs. One form, alpha 1A-1 is given in Q84659/R71007, and the other, alpha 1A-2 is given in Q84660/R71008. Alpha 1A-2 differs from alpha 1A-1 encoding sequence at the 3' end in that it lacks a 5 nt. sequence. This deletion shifts the reading frame and introduces a translation termination codon resulting in an alpha 1A subunit than that encoded by alpha 1A-1. DNA doncding alpha 1A subunits can be isolated using all or a portion of the DNA having sequence Q84661, Q85659 or Q84660 or DNA obtd. from the phage lysate of an E. coli host contg. DNA encoding an alpha 1A subunit that has been deposited in the ATCC under accession no. 75293. The DNA is such a phage includes the DNA fragment having the sequence in Q84661 which selectively hybridises under conditions of high stringency to DNA encoding alpha 1A DNA but not to DNA encoding alpha 1B.

Sequence 7791 BP; 1675 A; 2436 C; 2258 G; 1422 T; 0 other;

Query Match 36.7%; Score 95; DB 16; Length 7791;  
Best Local Similarity 95.1%; Pred. No. 2.8e-20;  
Matches 98; Conservative 0; Mismatches 5; Indels 0; Gaps 0;

QY 142 gcatcttggcgacagttgggacggagtttgacctacggacgctgagggcaggttcgagtcg 201  
Db 775 gcatcttggcgacagttgggacggagtttgacctacggacgctgagggcaggttcgagtcg 834  
QY 202 tgcggccgctcaagctggtgtctggaatcccaagtcgctgagt 244  
Db 835 tgcggccgctcaagctggtgtctggaatcccaagtttacaagt 877

RESULT 4  
ID Q84659 standard; DNA; 7808 BP.  
AC Q84659;  
DT 01-DEC-1995 (first entry)  
XX Human neuronal calcium channel subunit alpha 1A-1.  
XX Calcium channel subunit; antagonist; agonist; diagnosis;  
XX Lambert Eaton Syndrome; ss.  
XX Homo sapiens.  
XX

us-09-269-446b-3.rge

Gen Emb

Sat Mar 3 13:21:49 2001

Db 61 GACACAGACCATCTTCTTGAATTTTGTTCGAGGCTGGAATTAATCAATGCGC 120  
Qy 121 cttgggttgcctcccaaaagctcctacttgaggaatgctggaatgctcaggaattt 180  
Db 121 cttgggttgcctcccaaaagctcctacttgaggaatgctggaatgctcaggaattt 180  
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Db 421 CTGCTCCAGAGTGCTTTATG 441

RESULT 2  
A70682 441 bp DNA PAT 07-MAY-1999  
LOCUS Sequence 3 from Patent WO9813490.  
ACCESSION A70682  
VERSION A70682.1 GI:4774686  
KEYWORDS  
SOURCE unidentified.  
ORGANISM unidentified.  
REFERENCE 1 (bases 1 to 441)  
AUTHORS Ophoff, R.A., Terwindt, G.M., Ferrari, M.D. and Frants, R.R.  
TITLE A gene related to migraine in man  
JOURNAL Patent: WO 9813490-A 02-APR-1998;  
OPHOFF ROEL ANDRE (NL)  
FEATURES  
Location/Qualifiers  
source 1. .441  
/db\_xref="taxon:32644"  
BASE COUNT 89 a 110 c 104 g 138 t  
ORIGIN

Query Match 100.0%; Score 441; DB 81; Length 441;  
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Qy 181 gt 240  
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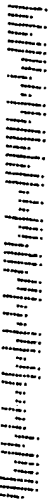
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AF055477 Rattus no  
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M92905 Rat calciu  
U04999 Mus muscu  
AL10937 Homo sapi  
AF23258 Homo sapi  
AL160059 Homo sapi  
U12881 Sequence 14  
U12880 Sequence 12  
AR022380 Sequence  
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AR022379 Sequence  
AR063882 Sequence  
AR067882 Sequence  
M94172 Human N-ty  
D14157 Rabbt mRNa  
X67856 O.cuniculus  
AF173015 Gallus ga  
AF173014 Gallus ga  
AF173019 Gallus ga

ALIGNMENTS

RESULT 1  
HSCAC3 441 bp DNA PRI 01-NOV-1996  
LOCUS H.sapiens CACNL1A4 gene, exon 3.  
DEFINITION  
ACCESSION Z80116  
VERSION Z80116.1 GI:1657289  
KEYWORDS alpha subunit; CACNL1A4; calcium channel; P/Q type.  
SOURCE human.  
ORGANISM Homo sapiens  
Eukaryota; Metazoa; Chordata; Vertebrata; Mammalia; Eutheria;  
Primates; Catarrhini; Hominidae; Homo.  
REFERENCE 1 (bases 1 to 441)  
AUTHORS Ophoff, R.A.  
TITLE Direct Submission  
JOURNAL Submitted (09-SEP-1996) R.A. Ophoff, Leiden University, Human Genetics, Sylvius Laboratory, P.O. Box 9503, 2300 RA Leiden, NETHERLANDS  
FEATURES  
Location/Qualifiers  
source 1. .441  
/db\_xref="taxon:9606"  
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58.197  
/gene="CACNL1A4"  
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/usedin="Z80114:cac\_mrna"  
BASE COUNT 89 a 110 c 104 g 138 t  
ORIGIN  
Query Match 100.0%; Score 441; DB 78; Length 441;  
Best Local Similarity 100.0%; Pred. NO. 1.5e-131;  
Matches 441; Conservative 0; Mismatches 0; Indels 0; Gaps 0;  
Qy 1 gatctgtcaacatctgtcccaagcagcgtgacctgcttctctccctccagat 60  
Db 1 gatctgtcaacatctgtcccaagcagcgtgacctgcttctctccctccagat 60  
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TC1600 REMISEN

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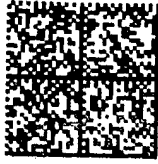
P.O. BOX 1450

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  - ☒ Moved, Left No Address
  - ☐ Forwarding Order Expired
- Reason Checked

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